

Sicagen India Limited  
Shareholding Pattern

1. Name of Listed Entity : Sicagen India Limited  
 2. Scrip Code/Name of Scrip/Class of Security : SICAGEN  
 3. Share Holding Pattern Filed under : 31b  
 4. Share Holding Pattern as on : 30-Jun-2018  
 5. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No
6	Whether the Listed Entity has issued any differential Voting Rights?	No
7	Whether the Listed Entity has issued any Warrants ?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Share Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class eg: X	Class eg: Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
																	No of Voting Rights
A	Promoter & Promoter Group	11	16536378	0	0	16536378	41.79	16536378	0	16536378	41.79	0	0.00			16536378	
B	Public	41273	23035306	0	0	23035306	58.21	23035306	0	23035306	58.21	0	0.00			21683487	
C	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0	
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0	
	Total	41284	39571684	0	0	39571684	100.00	39571684	0	39571684	100.00	0	0.00			38219865	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	PAN (II)	No. of Share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
									Class X	Class Y	Total								
1	Indian		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0	
a	Individuals/Hindu Undivided Family		2	616657	0	0	616657	1.56	616657	0	616657	1.56	0	0.00	0	0.00			616657
	Muthiah A C	AESPM7976H	1	192957	0	0	192957	0.49	192957	0	192957	0.49	0	0.00	0	0.00			192957
	AC Muthiah	AAAHA6527L	1	423700	0	0	423700	1.07	423700	0	423700	1.07	0	0.00	0	0.00			423700
b	Central Government/ State Government(S)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Any Other (Specify)		5	1194132	0	0	1194132	3.02	1194132	0	1194132	3.02	0	0.00	0	0.00			1194132
	Bodies Corporate		5	1194132	0	0	1194132	3.02	1194132	0	1194132	3.02	0	0.00	0	0.00			1194132
	Southern Petrochemical Industries Corporation Limited	AAACS4668K	1	577681	0	0	577681	1.46	577681	0	577681	1.46	0	0.00	0	0.00			577681
	ACM Educational Foundation	AAACA5809J	1	572043	0	0	572043	1.45	572043	0	572043	1.45	0	0.00	0	0.00			572043
	The Express Carriers Limited	AAACT2477P	1	44200	0	0	44200	0.11	44200	0	44200	0.11	0	0.00	0	0.00			44200
	ACM Medical Foundation	AAACA5663N	1	109	0	0	109	0.00	109	0	109	0.00	0	0.00	0	0.00			109
	South India Travels Pvt Ltd	AAACS3792E	1	99	0	0	99	0.00	99	0	99	0.00	0	0.00	0	0.00			99
	Sub-Total (A)(1)		7	1810789	0	0	1810789	4.58	1810789	0	1810789	4.58	0	0.00	0	0.00			1810789
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		2	48838	0	0	48838	0.12	48838	0	48838	0.12	0	0.00	0	0.00			48838
	Ashwin C Muthiah	AAEPA4148H	1	41838	0	0	41838	0.11	41838	0	41838	0.11	0	0.00	0	0.00			41838
	Valli Ashwin Muthiah	AAFPM7886D	1	7000	0	0	7000	0.02	7000	0	7000	0.02	0	0.00	0	0.00			7000
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (Specify)		2	14676751	0	0	14676751	37.09	14676751	0	14676751	37.09	0	0.00	0	0.00			14676751

	Overseas Corporate Bodies		2	14676751	0	0	14676751	37.09	14676751	0	14676751	37.09	0	37.09	0	0.00		14676751
	Ranford Investments Ltd.	AADCR5452K	1	7400649	0	0	7400649	18.70	7400649	0	7400649	18.70	0	18.70	0	0.00		7400649
	Darnolly Investments Ltd.	AACCD5610N	1	7276102	0	0	7276102	18.39	7276102	0	7276102	18.39	0	18.39	0	0.00		7276102
	Sub-Total (A)(2)		4	14725589	0	0	14725589	37.21	14725589	0	14725589	37.21	0	37.21	0	0.00		14725589
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		11	16536378	0	0	16536378	41.79	16536378	0	16536378	41.79	0	41.79	0	0.00		16536378

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable)
									Class X	Class Y	Tot al								
1	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Mutual Funds/		4	1177	0	0	1177	0.00	1177	0	1177	0.00	0	0.00	0	0.00			1077
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Foreign Portfolio Investors		4	4881018	0	0	4881018	12.33	4881018	0	4881018	12.33	0	0.00	0	0.00			4881018
	Aries Diversified Fund	AAHCA2144B	1	1411595	0	0	1411595	3.57	1411595	0	1411595	3.57	0	0.00	0	0.00			1411595
	Sparrow Asia Diversified Opportunities Fund	AANCS3131Q	1	750000	0	0	750000	1.90	750000	0	750000	1.90	0	0.00	0	0.00			750000
	Arial Holdings 1	AAKCA6796Q	1	1975000	0	0	1975000	4.99	1975000	0	1975000	4.99	0	0.00	0	0.00			1975000
	EOS Multi Strategy Fund Ltd	AADCE0686N	1	744423	0	0	744423	1.88	744423	0	744423	1.88	0	0.00	0	0.00			744423
f	Financial Institutions/ Banks		17	188000	0	0	188000	0.48	188000	0	188000	0.48	0	0.00	0	0.00			17616
g	Insurance Companies		2	67770	0	0	67770	0.17	67770	0	67770	0.17	0	0.00	0	0.00			67770
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
i	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(1)		27	5137965	0	0	5137965	12.98	5137965	0	5137965	12.98	0	0.00	0	0.00			4967481
2	Central Government/ State Government(S)/ President Of India		1	334	0	0	334	0.00	334	0	334	0.00	0	0.00	0	0.00			334
	Sub-Total (B)(2)		1	334	0	0	334	0.00	334	0	334	0.00	0	0.00	0	0.00			334

3	Non-Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0.00	0	
a	Individuals -		39996	11782357	0	0	11782357	29.78	11782357	0	11782357	29.78	0	29.78	0	0.00	0.00	10668797
i	Individual Shareholders Holding Nominal Share Capital Up To Rs. 2 Lakhs.		39950	8415094	0	0	8415094	21.27	8415094	0	8415094	21.27	0	21.27	0	0.00		7301534
ii	Individual Shareholders Holding Nominal Share Capital In Excess Of Rs. 2 Lakhs.		46	3367263	0	0	3367263	8.51	3367263	0	3367263	8.51	0	8.51	0	0.00		3367263
	Subramanian P	AMBPS8931K	1	729790	0	0	729790	1.84	729790	0	729790	1.84	0	1.84	0	0.00		729790
	Mahendra Girdharilal	AAAPW1327L	1	477451	0	0	477451	1.21	477451	0	477451	1.21	0	1.21	0	0.00		477451
b	NBFCs Registered With RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Overseas Depositories (Holding DRS) (Balancing Figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (Specify)		1249	6114650	0	0	6114650	15.45	6114650	0	6114650	15.45	0	15.45	0	0.00		6046875
	Bodies Corporate		407	5245624	0	0	5245624	13.26	5245624	0	5245624	13.26	0	13.26	0	0.00		5184115
	Twinshield Consultants Private Limited	AAACW6932A	1	2948810	0	0	2948810	7.45	2948810	0	2948810	7.45	0	7.45	0	0.00		2948810
	Mercantile Ventures Limited	AAICM6095N	1	710523	0	0	710523	1.80	710523	0	710523	1.80	0	1.80	0	0.00		710523
	Clearing Member		34	40713	0	0	40713	0.10	40713	0	40713	0.10	0	0.10	0	0.00		40713
	HUF		591	510029	0	0	510029	1.29	510029	0	510029	1.29	0	1.29	0	0.00		509776
	Non-Resident Indian (NRI)		217	318284	0	0	318284	0.80	318284	0	318284	0.80	0	0.80	0	0.00		312271
	Sub-Total (B)(3)		41245	17897007	0	0	17897007	45.23	17897007	0	17897007	45.23	0	45.23	0	0.00		16715672
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		41273	23035306	0	0	23035306	58.21	23035306	0	23035306	58.21	0	58.21	0	0.00		21683487

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of older shares (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = IV+V+VI	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held
5777	368377

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %