Shareholding Pattern

 Name of Listed Entity
 : Sicagen India Limited

 Scrip Code/Name of Scrip/Class of Security
 : SICAGEN

 Share Holding Pattern Filed under
 : 31b

 Share Holding Pattern as on
 : 30-Sep-2019

 Declaration
 : The Listed entity is required to submit the f

: Sicagen India Limited : SICAGEN : 31b : 30-Sep-2019 : The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Catego ry (I)	Category of shareholder (II)	Nos. of shareh olders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ - (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)		ch class o	g Rights hel of securities K)		No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share	Numb Locke shar (XI	ed in res	Si plea othei encum		Number of equity shares held in dematerialized form
							As a % of (A+B+C2)	No of	Voting I	Rights	To tal	Warrants) (X)	capital)	No. (a)	As a % of	No. (a)	As a % of	<u>(XIV)</u>
								Class eg: X	Class eg:y	Total	as a % of (A+ B+ C)		(XI)= (VII)+(X) As a % of (A+B+C2)		total Shar es held (b)		total Share s held (b)	
A	Promoter & Promoter Group	11	16536392	C	C	16536392	41.79	16536392	0	16536392	41.79	C	41.79	0	C			16536392
В	Public	40584	23035292	C	0	23035292	58.21	23035292	0	23035292	58.21	C	58.21	0	C			21757955
С	Non Promoter- Non Public	0	0	C	C	0	0	0	0	0	0	C	0	0	C	0	0	0
C1	Shares underlying DRs	0	0	C	0	0	0	0	0	0	0	C	0	0	0			0
C2	Shares held by Employee Trusts	0	0	C	C	0	0	0	0	0	0	C	0	0	0			0
	Total	40595	39571684	C	0 0	39571684	100	39571684	0	39571684	100	C	100	0	0			38294347

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Shareholding		of Voting Rig			No. of	Shareholding	Numb		Num		Number of
of the	(II)	Share-	fully	paid-up	shares	nos.	%	ead	ch class of se			Shares	as a %	Locke			ares	equity
Shareholders		holder	paid	equity	underlying	shares	calculate		(1.	X)		Underlying	assuming	sha		pledg		shares held
(1)		(111)	up	shares	Depository	held	d as per					Outstanding	full	()	(II)	othe		in
			equity	held	Receipts	(VII =	SCRR,					convertible	conversion			encum		dematerialized
			share	(V)	(VI)	IV+V+VI)	1957					securities	of			1	(XIII)	form
			s held				A 0/ C	No of Vo	oting Rights		Total as	(including	convertible	No.	Asa	No.	Asa	(XIV)
			(IV)				As a % of				a % of	Warrants)	securities ((a)	% of	(a)	% of	
							(A+B+C2)				Total	(X)	as a		total		total	
							(VIII)				Voting		percentage		Shar		share s	
											rights		of diluted		es		held (b)	
								×	~				share		held			
								Class X	Class Y	Total			capital) (XI) =		(b)			
								Cla	Cla	1			(VII)+(X) as a					
													% of					
													A+B+C2					
Indian		(0	(0	0	0	0	0	0		7.0.02		0			
Indian Individuals/Hindu			0 616657	0		616657	1.56	616657	0	616657	1 50		0 1.56		0	<u></u>		61665
Individuals/Hindu undivided Family		4	616657	0	l (1 2001 0	1.56	100010	0	100010	1.56		1.56		1 0	,		0100
	AAAHA652		423700			423700	4 07	423700	^	423700	4 07		4 07					42370
		1	423/00	U	l (423100	1.07	423700	0	423/00	1.07		1.07		1 0	,		423/0
MUTHIAH A C	AESPM797	-	192957	0	r c) 192957	0.49	192957	^	192957	0.49	-	0.49		<u>م</u>			19295
	6H		192907	U		, 192907	0.49	192931	0	192997	0.49		0.49		1	,		19293
Central Government/ State		ſ	0	0	ſ		0	0	٥	0	٥	-		(0			
Government(s)		(, ,	0	, c	, 0	0	0	0	0	0		0	, c	0	,		
Financial Institutions/		(0	(0	0	0	٥	0	0		0		0			
Banks		(, ,	0	, c	, 0	0	0	0	0	0		0	, c	0	,		
Any Other (specify)		¢.	1194146	0	ſ) 1194146	3.02	1194146	٥	1194146	3.02		3.02	(0			119414
Bodies Corporate		F	1194146	0	(1194146	3.02	1194146	0	1194146	3.02		3.02	(0)		119414
	AAACS466	1	577681	0	((577681	1.46	577681	0	577681	1.46		0 1.46	(0)		57768
	BK	1	577001	0	C C	577001	1.40	511001	0	577001	1.40		1.40			,		51100
INDUSTRIES																		
CORPORATIONLIMITED																		
	AAACA580	1	572043	0	(572043	1.45	572043	0	572043	1.45		0 1.45	(0)		57204
	9J		012010	Ŭ		012010	1.10	012010	v	012010	1.10			,				0120
	AAACT247	1	44200	0	() 44200	0.11	44200	0	44200	0.11		0.11	(0)		4420
	7P			Ū			0.11		Ĩ		2			Ì	Ĩ			
	AAACA566	1	109	0	() 109	0	109	0	109	0		0 0	(0)		10
	3N						-				-				-			
	AAACS379	1	113	0	() 113	0	113	0	113	0	(0 0	(0)		11
	2E																	
Sub-Total (A)(1)		7	1810803	0	(1810803	4.58	1810803	0	1810803	4.58		4.58	(0)		181080
Foreign		(0 0	0	() 0	0	0	0	0	0		0 0	(0)		
Individuals (Non-Resident		2	48838	0	(48838	0.12	48838	0	48838	0.12	(0.12	(0)		488
Individuals/ Foreign																		
Individuals)																		
ASHWIN C MUTHIAH	AAEPA414	1	41838	0	(41838	0.11	41838	0	41838	0.11	(0.11	(0)		418
	8H																	
VALLI ASHWIN MUTHIAH		1	7000	0	(7000	0.02	7000	0	7000	0.02	(0.02	(0)		70
	6D																	
Government		(0	0	(0	0	0	0	0	0		0 0	(0)		
Institutions		(0	0	(0 0	0	0	0	0	0	(0 0	(0)		
Foreign Portfolio Investor		(0 0	0	(0 0	0	0	0	0	0		0 0	(0)		
Any Other (specify)		2	14676751	0	(14676751	37.09	14676751	0	14676751	37.09		37.09	(0)		146767
Overseas Corporate		2	14676751	0	(14676751	37.09	14676751	0	14676751	37.09	(37.09	(0	D		146767
Bodies													-					_
	AADCR545 2K	1	7400649	0	(7400649	18.7	7400649	0	7400649	18.7	(18.7	(0)		74006
INVESTMENTS LTD.					1								1					

INVESTMENTS LTD. ON																
Sub-Total (A)(2)	4	14725589	0	0	14725589	37.21	14725589	0	14725589	37.21	0	37.21	0) 0		14725589
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	11	16536392	0	0	16536392	41.79	16536392	0	16536392	41.79	0	41.79	(0 0		16536392

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share- h older (III)	No. of fully paid up equity share s held	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held <u>VII</u> <u>=</u> IV+V+VI	Share- hoding % calculate d as per SCRR, 1957		class of s (L	Rights held in securities X)	each	No. of Shares Underlying Outstanding convertible securities (including	Total shareholding, as a % assuming full conversion of convertible	Lock	ber of ked in es (XII)	Share or o enci	mber of s pledged therwise umbered (XIII)	Number of equity shares held in dematerialized form (XIV)
				(IV)				As a % of (A+B+C2) VIII	No of Vo	ting Rights		Total as a % of Total	Warrants) (X)	securities (as a percentage of diluted share capital)	No. (a)	As a % of total Shar	No. (Not ap pli	As a % of total share s	
									Class X	Class Y	Total	Voting rights		(XI)		es held (b)	pii ca ble) (a)	held (Not applic able) (b)	
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	() (0	0	0
а	Mutual Funds/		4	1177	0	0	1177	0	1177	0	1177	0	0	0) (1077
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	() (0
С	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	(0 0			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	(0 0			0
е	Foreign Portfolio Investors		3	4881018	0	0	4881018	12.33	4881018	0	4881018	12.33	0	12.33	() (4881018
-	EOS MULTI STRATEGY FUND LTD	AADCE068 6N	1	969423	0	0	969423	2.45		0	969423	2.45	0	2.45	(0 0			969423
		AAHCA214 4B	1	1936595	0	0	1936595	4.89	1936595	0	1936595	4.89	0	4.89	() (1936595
		AAKCA679 6Q	1	1975000	0	0	1975000	4.99	1975000	0	1975000	4.99	0	4.99	() (1975000
f	Financial Institutions/ Banks	° u	17	171152	0	0	171152	0.43	171152	0	171152	0.43	0	0.43	() (768
a	Insurance Companies		2	67770	0	0	67770	0.17	67770	0	67770	0.17	0	0.17	() (67770
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	(0 0			0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	() (0
	Sub-Total (B)(1)		26	5121117	0	0	5121117	12.93	5121117	0	5121117	12.93	0	12.93	() (4950633
2	Central Government/ State Government(s)/ President of India		1	334	0	0	334	0	334	0	334	0	0	0	() (334
	Sub-Total (B)(2)		1	334	0	0	334	٥	334	٥	334	0	٥	0	ſ		-		334
3	Non-institutions	<u>├</u>	0	004	0	0	0	0	004	0	004	0	0	0	((0	0	0
5	Individuals -		39410	12129520	0	0	12129520	30.65	12129520	0	12129520	30.65	0	30.65			0	0	11071010
i	Individual shareholders		39365	8590792	0	0	8590792	21.71	8590792	0	8590792	21.71	0	21.71				0	7532282
	holding nominal share capital up to Rs. 2 lakhs.		00000	0000192			0000192	21.71	0000192	U	0000192	21.71	Ŭ	21.71					1002202
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		45	3538728	0	0	3538728	8.94	3538728	0	3538728	8.94	0	8.94	() (3538728
		AAAPW132 7L	1	477451	0	0	477451	1.21	477451	0	477451	1.21	0	1.21	() (477451
		AMBPS893 1K	1	729790	0	0	729790	1.84	729790	0	729790	1.84	0	1.84	() (729790
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	() (0

С	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	C	0	0	0
	Overseas Depositories		0	0	0	0	0	0	0	0	0	0	0	C	0	0	0
	(holding DRs) (balancing figure)																
е	Any Other (specify)		1147	5784321	0	0	5784321	14.62	5784321	0	5784321	14.62	0	14.62	0	0	5735978
	Other		307	4911163	0	0	4911163	12.41	4911163	0	4911163	12.41	0	12.41	0	0	4868869
	TWINSHIELD CONSULTANTS PRIVATE LIMITED	AAACW693 2A	1	2948810	0	0	2948810	7.45	2948810	0	2948810	7.45	0	7.45	0	0	2948810
	MERCANTILE VENTURES LIMITED	AAICM6095 N	1	729510	0	0	729510	1.84	729510	0	729510	1.84	0	1.84	0	0	729510
	Clearing member		26	20168	0	0	20168	0.05	20168	0	20168	0.05	0	0.05	0	0	20168
	HUF		590	528641	0	0	528641	1.34	528641	0	528641	1.34	0	1.34	0	0	528591
	Non-Resident Indian (NRI)		223	324149	0	0	324149	0.82	324149	0	324149	0.82	0	0.82	0	0	318150
	Trusts		1	200	0	0	200	0	200	0	200	0	0	0	0	0	200
	Sub-Total (B)(3)		40557	17913841	0	0	17913841	45.27	17913841	0	17913841	45.27	0	45.27	0	0	16806988
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		40584	23035292	0	0	23035292	58.2	23035292	0	23035292	58.2	0	58.2	0	0	21757955

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Number	of Voting Rig	ghts held	in	No. of	Total	Numbe	er of	Numbe	er of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ch class of s	ecurities		Shares	shareholdin	Locke	d in	Shai	res	equity
	Shareholders		older	paid	equity	underlying	held	calculate		(IX)		Underlying	g , as a %	shar	es	pledge	d or	shares held
	(1)		(111)	up	shares	Depository	(VII =	d as per					Outstanding	assuming	(X	(11)	otherw	vise	in
	.,		. ,	equity	held	Receipts	IV+V+VI)	SCRR,					convertible	full	, i	,	encumb	ered	dematerializ
				share	(V)	(VI)		1957					securities	conversion				(XIII)	ed form
				s held				As a % of	No of V	oting Rights		Total as a	(including	of	No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2)				% of Total	Warrants)	convertible		% of	(Not	% of total	(Not
								(VIII)				Voting	(X)	securities (total	appli	share s	Applicable)
												rights		as a		Shar	cable	held (Not	
												-		percentage		es)	applic	
									s X	~	a			of diluted		held		able)	
									Clast	lass	Total			share					
									S	G				capital)					
														(XI)	1				
1	Custodian/DR Holder		() () () (0	0 () () (0 0	0		0	0	C			0
2	Employee Benefit Trust		() () () (D	0 () () (0 0	0		0 (0 0	0			0
	(under SEBI (Share based																		
	Employee Benefit)																		
	Regulations, 2014)																		
	Total Non-Promoter- Non		() () () (0	0 () () (0 0	0		0 (0	0	0	0 0	0
	Public Shareholding (C)=																		
	(C)(1)+(C)(2)																		

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign	Nationality	Details of holding/ exercise	e of right of the SBO in the repo	rting company, whether direct or indir	ect*		Date of creation / acquisition of significant
			national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	beneficial interest
1	Ashwin C Muthiah	AAEPA4148H		Singapore	37.3	37.3	56.2			
A	Ranford Investments Ltd	AADCR5452K		Marshall Island	18.7	18.7	18.7	Yes	Yes	15-Mar-2008
В	Darnolly Investments Ltd	AACCD5610N		Marshall Island	18.39	18.39	18.7	Yes	Yes	15-Mar-2008
С	The Express Carriers Ltd	AAACT2477P		India	0.11	0.11	18.7	Yes	Yes	15-Mar-2008
D	Ashwin C Muthiah	AAEPA4148H		Singapore	0.1	0.1	0.1	Yes	Yes	15-Mar-2008

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number o	shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of sh	nareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.
No. of shareholders	No of share held
5770	349262

Table III- Person in Concert

	Details of the shareholders acting as persons in Concert including their Shareholding										
Name of shareholder	Name of PAC	No. of share	Holding %								